

Receipt
#10
8-1-02



CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:

June 28, 2002
Date

Steven L. Highlander

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Bethany A. Janowski
David John Mangelsdorf

Group Art Unit: 1646

Examiner: Unknown

Serial No.: 09/603,131

Atty. Dkt. No.: UTSD:578USC2

Filed: June 23, 2000

For: NOVEL OXY-STEROL LIGANDS FOR
THE LXR RECEPTOR AND USES
THEREOF

RECEIVED
JUL 24 2002
TECH CENTER 1600/2900

COPY OF PAPERS
ORIGINALLY FILED

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the error has been noted in red.

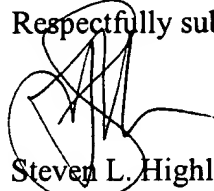
There is an error in David John Mangelsdorf's address. Please delete "Ducanville, TX" and insert **-Duncanville, TX-**. In support of this requested correction, Applicants attach hereto a copy of the inventors' Declaration filed June 23, 2000.

No fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to

this document, the Commissioner is hereby authorized to deduct said fee from Fulbright & Jaworski Deposit Account No.: 50-1212/10020402/SLH.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "SLH", is written over a circular stamp that is partially obscured.

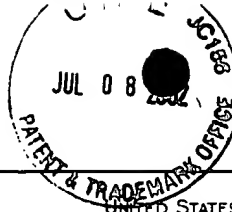
Steven L. Highlander
Reg. No. 37,642
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701
(512) 474-5201

Date: June 28, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/603,131 ✓	06/23/2000 ✓	1646	345	UTSD:578USC2/RAN	5	15	3

Richard A Nakashima
Fulbright & Jaworski LLP
600 Congress Avenue
Suite 2400
Austin, TX 78701

RECEIVED	
Date(s) Docketed:	<u>Filing details</u>
	<u>noted</u>
MAR 13 2002	
Client:	<u>UTSD:578USC2</u>
Attorney(s):	<u>DLP/SLH</u>
Initials:	<u>SSZ</u>

CONFIRMATION NO. 3524

CORRECTED FILING RECEIPT



OC000000007546274

Date Mailed: 02/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Bethany A. Janowski, Dallas, TX; ✓
David John Mangelsdorf, ~~Duncanville~~, TX;

Duncanville

COPY OF PAPERS
ORIGINALLY FILED

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/943,936 09/26/1997 ABN ✓
WHICH CLAIMS BENEFIT OF 60/026,796 09/27/1996

Foreign Applications

If Required, Foreign Filing License Granted 09/13/2000

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Novel oxy-sterol ligands for the LXR receptor and uses thereof

Preliminary Class

RECEIVED
JUL 24 2002
TECH CENTER 1600/2900

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

FROM : MCGREGOR&ADLER, P.C.

PHONE NO. :

Sep. 25 1997 03:08PM

DOCKET NO: D5906

COMBINED DECLARATION AND POWER OF ATTORNEY

I, David John Mangelsdorf hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and co-inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled, Novel Oxy-Sterol Ligands For The LXR Receptor And Uses Thereof; the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Dr. Benjamin Adler, Registration No. 35,423 and Sarah J. Brashears, Registration No. 38,087. Address all telephone calls to Dr. Benjamin Adler at telephone number 713/777-2321. Address correspondence to Dr. Benjamin Adler, MCGREGOR & ADLER, P.C., 8011 Candle Lane, Houston, TX 77071.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: David John Mangelsdorf

Inventor's Signature: David John Mangelsdorf Date: 9-25-97

Residence Address: 1446 Cardinal Creek Drive

Citizen of: United States

Post Office Address: Duncanville, TX 75137

FROM : MCGREGOR&ADLER, P.C.

PHONE NO. :

Sep. 25 1997 03:09PM P.

DOCKET NO: D5906

COMBINED DECLARATION AND POWER OF ATTORNEY

I, Bethany A. Janowski hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and co-inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled, Novel Oxy-Sterol Ligands For The LXR Receptor And Uses Thereof; the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Dr. Benjamin Adler, Registration No. 35,423 and Sarah J. Brashears, Registration No. 38,087. Address all telephone calls to Dr. Benjamin Adler at telephone number 713/777-2321. Address correspondence to Dr. Benjamin Adler, MCGREGOR & ADLER, P.C., 8011 Candle Lane, Houston, TX 77071.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: Bethany A. Janowski

Inventor's Signature: *Bethany A. Janowski* Date: 9/25/97

Residence Address: 3100 Cole Ave., Apt. #205

Citizen of: United States

Post Office Address: Dallas, TX 75204



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

FILE COPY



Bib Data Sheet

CONFIRMATION NO. 3524

SERIAL NUMBER 09/603,131	FILING DATE 06/23/2000 RULE	CLASS 435	GROUP ART UNIT 1646	ATTORNEY DOCKET NO. UTSD:578USC2/RAN
APPLICANTS Bethany A. Janowski, Dallas, TX; David John Mangelsdorf, Duncanville, TX;				
** CONTINUING DATA ***** THIS APPLICATION IS A CON OF 08/943,936 09/26/1997 ABN WHICH CLAIMS BENEFIT OF 60/026,796 09/27/1996				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** ** 09/13/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged		STATE OR COUNTRY TX	SHEETS DRAWING 5	TOTAL CLAIMS 15
Examiner's Signature _____ Initials _____		INDEPENDENT CLAIMS 3		
ADDRESS Richard A Nakashima Fulbright & Jaworski LLP 600 Congress Avenue Suite 2400 Austin, TX 78701				
TITLE Novel oxy-sterol ligands for the LXR receptor and uses thereof				
FILING FEE RECEIVED 345	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	